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### N THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Appln No.: 10/763,534

Filed: January 23, 2004

Applicants: Andrew HALLIDAY et al.

Title:

**CARTRIDGE FOR THE** 

PREPARATION OF BEVERAGES

Art Unit: 1

1761

Examiner: Not yet assigned

Attorney Docket No.: 67628

Customer No.:

22242

Confirmation No. 9232

#### **CERTIFICATE OF MAILING**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this date.

10/21/2004

Date

Joseph E. Shipley

Registration No. \_\_\_\_31,1

Attorney for Applicant(s)

Mail Stop AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### **INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to the duty of disclosure under 37 CFR § 1.56, and in accordance with 37 CFR §§ 1.97 and 1.98, the undersigned attorney cites the following information:

This application is one of a large number of patent applications filed on behalf of Kraft Foods in connection with certain beverage systems. To facilitate USPTO review of the references cited in earlier searches conducted in connection with these applications, copies of search reports associated with these applications are attached, along with copies of non-US references cited therein. Search reports from non-U.S. applications corresponding to this application may be identified by

Attorney Docket Number 67628. Additional references that may potentially be relevant are also cited to ensure compliance with the duty of disclosure.

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The above documents are listed on Form PTO/SB/08 which accompanies this Information Disclosure Statement. In accordance with 37 CFR § 1.98(a)(2), enclosed is a copy of each foreign patent document and non-patent literature document listed. Also enclosed is an English language translation for Japanese Patent Kokai 2000-93309.

The undersigned attorney also cites the following co-pending United States applications:

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It is believed that no fee is required for this communication. However, the Commissioner is hereby authorized to charge any fees which may be required with respect to this communication to Deposit Account No. 06-1135.

Respectfully submitted,

FITCH, EVEN, TABIN & FLANNERY

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or Form PTO-1449

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(use as many sheets as necessary)

Sheet 1 of 15

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Filing Date	January 23, 2004
First Named Inventor	Andrew HALLIDAY et al.
Art Unit	1761
Examiner Name	Not yet assigned
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		EP-0 455 337 A1	11/06/1991	Bentley et al.			
		EP-0 469 162 A1	02/05/1992	Fond			

Examiner Signature	Date Conside	ered

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in

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Applicant's unique citation designation number (optional). See Kind Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04.

Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08		440		Application Number	10/763,534
Substitute	for Form PTO-1	449		Filing Date	January 23, 2004
	DRMATION			First Named Inventor	Andrew HALLIDAY et al.
STA	TEMENT B	BY AF	PPLICANT	Art Unit	1761
(use as many sheets as necessary)			ecessary)	Examiner Name	Not yet assigned
Sheet	2	of	15	Attorney Docket	67628

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Examiner Cite		Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines Where Relevant Passages	
Initials*	No.1	Number-Kind Code <sup>2</sup>	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear	
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		US-4,846,052	07/11/1989	Favre et al.		
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		US-4,920,870	05/01/1990	Newman et al.		
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		US-5,063,836	11/12/1991	Patel		

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Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines	Γ		
Initials*	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup>	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T		
		EP-0 521 510 A1	01/07/1993	Fond				
		EP-0 524 464 A1	01/27/1993	Fond et al.				
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PTO/SB/08				Application Number	10/763,534
Substitute	for Form PTO-	1449		Filing Date	January 23, 2004
	RMATION			First Named Inventor	Andrew HALLIDAY et al.
STA	STATEMENT BY APPLICANT			Art Unit	1761
(use as many sheets as necessary)			ecessary)	Examiner Name	Not yet assigned
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Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines Where Relevant Passages		
Initials*	No.¹	Number-Kind Code <sup>2</sup>	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear		
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Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines Where Relevant Passages			
Initials*	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup>	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear	T6		
		EP-1 042 978 A1	10/11/2000	Cordone				
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		EP-1 208 782 A1	05/29/2002	Yoakim et al.				
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PTO/SB/08A Substitute for Form PTO-1449				Application Number	10/763,534
Substitute	for Form P10-	1449		Filing Date	January 23, 2004
	DRMATION			First Named Inventor	Andrew HALLIDAY et al.
STA	TEMENT E	BY AF	PPLICANT	Art Unit	1761
(use as many sheets as necessary)			ecessary)	Examiner Name	Not yet assigned
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			U.S. PATENT D	OCUMENTS		
Examiner Cite		Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines Where Relevant Passages	
Initials*	No.1	Number-Kind Code <sup>2</sup>	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear	
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Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines	Γ	
Initials*	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup>	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>	
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Date	
Considered	
	Date Considered

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	PTO/SB/08A			Application Number	10/763,534
Substitute	for Form PTO-1	449		Filing Date	January 23, 2004
	ORMATION			First Named Inventor	Andrew HALLIDAY et al.
STA	STATEMENT BY APPLICANT			Art Unit	1761
(use as many sheets as necessary)			necessary)	Examiner Name	Not yet assigned
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			U.S. PATENT D	OCUMENTS	
Examiner Cite		Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines Where Relevant Passages
Initials*	No.1	Number-Kind Code <sup>2</sup>	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear
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Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines Where Relevant Passages		
Initials*	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup>	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear	T <sup>6</sup>	
		GB-2 306 432 A	05/07/1997	Peterson et al.			
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Examiner	Date	
Signature	Considered	

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PTO/SB/08				Application Number	10/763,534
Substitute	for Form PTO-1	449		Filing Date	January 23, 2004
	DRMATION			First Named Inventor	Andrew HALLIDAY et al.
STA	STATEMENT BY APPLICANT			Art Unit	1761
(use as many sheets as necessary)			necessary)	Examiner Name	Not yet assigned
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U.S. PATENT DOCUMENTS						
Examiner Cit		Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines Where Relevant Passages	
Initials*	No.¹	Number-Kind Code <sup>2</sup>	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appea	
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Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines Where Relevant Passages		
Initials*	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup>	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear	T <sup>6</sup>	
		WO-97/17006 A1	05/15/1997	Nello			
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PTO/SB/08A Substitute for Form PTO-1449				Application Number	10/763,534
Substitute	tor Form P10-1	449		Filing Date	January 23, 2004
	ORMATION		<del>_</del>	First Named Inventor	Andrew HALLIDAY et al.
STA	STATEMENT BY APPLICANT			Art Unit	1761
(use as many sheets as necessary)			necessary)	Examiner Name	Not yet assigned
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U.S. PATENT DOCUMENTS									
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines Where Relevant Passages				
Initials* N	No.1	Number-Kind Code <sup>2</sup>	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appea				
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Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines				
Initials*	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup>	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>			
		WO-01/82760 A1	11/08/2001	Kollep et al.					
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PTO/SB/08				Application Number	10/763,534	
Substitute for Form PTO-1449				Filing Date	January 23, 2004	
	DRMATION		=	First Named Inventor	Andrew HALLIDAY et al.	
STA	TEMENT B	RY AF	PPLICANT	Art Unit	1761	
(use as many sheets as necessary)			necessary)	Examiner Name	Not yet assigned	
Sheet 8 of 15		Attorney Docket	67628			

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Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines Where Relevant Passages				
Initials*	No.1	Number-Kind Code <sup>2</sup>	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear				
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Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines	Γ			
Initials*	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup>	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T			
		WO-02/092439 A2	11/21/2002	Edwards et al.					
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Examiner	Date	
Signature	Considered	

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PTO/SB/08		440		Application Number	10/763,534	
Substitute for Form PTO-1449				Filing Date	January 23, 2004	
INFORMATION DISCLOSURE				First Named Inventor	Andrew HALLIDAY et al.	
STA	STATEMENT BY APPLICANT			Art Unit	1761	
(use as many sheets as necessary)			necessary)	Examiner Name	Not yet assigned	
Sheet	9	of	15	Attorney Docket	67628	

	U.S. PATENT DOCUMENTS								
Examiner Cite		Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines Where Relevant Passages				
Initials*	No.1	Number-Kind Code <sup>2</sup>	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear				
		US-6,358,545 B1	03/19/2002	Chandler et al.					
		US-2002/0048621 A1	04/25/2002	Boyd et al.					
		US-6,405,637 B1	06/18/2002	Cai					
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	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines Where Relevant Passages					
	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup>	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear	T6				
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PTO/SB/08		. 4 4 0		Application Number	10/763,534
Substitute for Form PTO-1449				Filing Date	January 23, 2004
	DRMATION			First Named Inventor	Andrew HALLIDAY et al.
STA	TEMENT E	BY AP	PLICANT	Art Unit	1761
(use as many sheets as necessary)			cessary)	Examiner Name	Not yet assigned
Sheet	10	of	15_	Attorney Docket	67628

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Examiner Cite		Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines Where Relevant Passages				
Initials*	No.1	Number-Kind Code <sup>2</sup>	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appea				
		US-2002/0148356 A1	10/17/2002	Lazaris et al.					
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	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines	Γ
	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup>	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
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PTO/SB/08		440		Application Number	10/763,534
Substitute	for Form PTO-1	449		Filing Date	January 23, 2004
INFORMATION DISCLOSURE				First Named Inventor	Andrew HALLIDAY et al.
STATEMENT BY APPLICANT (use as many sheets as necessary)			PPLICANT	Art Unit	1761
			necessary)	Examiner Name	Not yet assigned
Sheet	11	of	15	Attorney Docket	67628

			U.S. PATENT D	OCUMENTS	
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines Where Relevant Passages
	No.1	Number-Kind Code <sup>2</sup>	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear
		US-D489,930 S	05/18/2004	Tse	
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		FOREI	GN PATENT D	OCUMENTS			
Examiner	Cite	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines Where Relevant Passages	
Initials* No.1		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup>	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear	T <sup>6</sup>	
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Signature	Considered	

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PTO/SB/08				Application Number	10/763,534
Substitute for Form PTO-1449				Filing Date	January 23, 2004
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STATEMENT BY APPLICANT  (use as many sheets as necessary)			PPLICANT	Art Unit	1761
			ecessary)	Examiner Name	Not yet assigned
Sheet	12	of	15	Attorney Docket	67628

		NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published						
		The United Kingdom Patent Office Combined Search and Examination Report for GB 0301709.2 dated 8 May 2003. (Attorney Docket 67638)						
		The United Kingdom Patent Office Combined Search and Examination Report for GB 0301702.7 dated 16 May 2003. (Attorney Docket 67626)						
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		The United Kingdom Patent Office Combined Search and Examination Report for GB 0301708.4 dated 12 June 2003. (Attorney Docket 67642)						
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Substitute	for Form PTO-1	449		Filing Date	January 23, 2004
INFO	ORMATION	DISC	CLOSURE	First Named Inventor	Andrew HALLIDAY et al.
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(use as many sheets as necessary)			ecessary)	Examiner Name	Not yet assigned
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		The United Kingdom Patent Office Combined Search and Examination Report for GB 0301681.3 dated 24 June 2003. (Attorney Docket 67630)						
		The United Kingdom Patent Office Combined Search and Examination Report for GB 0301733.2 dated 27 June 2003. (Attorney Docket 67625)						
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		European Patent Office Search Report for EP 04 25 0366.4 dated March 18, 2004. (Attorney Docket 67638)						
		European Patent Office Search Report for EP 04 25 0362.3 dated March 22, 2004. (Attorney Docket 67635)						
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		European Patent Office Search Report for EP 04 25 0361.5 dated May 4, 2004. (Attorney Docket 67631)						
		European Patent Office Search Report for EP 04 25 0360.7 dated May 7, 2004. (Attorney Docket 67630)						
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		European Patent Office Search Report for EP 04 25 0377.1 dated May 7, 2004. (Attorney Docket 67639)						
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Substitute for Form F10-1449				Filing Date	January 23, 2004	
INFORMATION DISCLOSURE				First Named Inventor	Andrew HALLIDAY et al.	
STATEMENT BY APPLICANT (use as many sheets as necessary)			PLICANT	Art Unit	1761	
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		European Patent Office Search Report for EP 04 25 0382.1 dated May 7, 2004. (Attorney Docket 67629)					
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		European Patent Office Search Report for EP 04 25 0380.5 dated May 10, 2004. (Attorney Docket 67636)					
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		European Patent Office Partial European Search Report for EP 04 25 0364.9 dated May 11, 2004. (Attorney Docket 67632)					
		European Patent Office Search Report for EP 04 25 0363.1 dated May 17, 2004. (Attorney Docket 67628)					
		European Patent Office Search Report for EP 04 25 0389.6 dated May 17, 2004. (Attorney Docket 67625)					
		European Patent Office Search Report for EP 04 25 0383.9 dated May 28, 2004. (Attorney Docket 67633)					
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		PCT Invitation to Pay Additional Fees and Communication Relating to the Results of the Partial International Search for PCT/GB2004/000272 dated May 11, 2004. (Attorney Docket 67625, 67627, 67628, 67629, 67630, 67631)					
		PCT International Search Report and Written Opinion of the International Searching Authority for PCT/GB2004/000273 dated May 12, 2004. (Attorney Docket 67634)					
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PCT Invitation to Pay Additional Fees and Communication Relating to the Results of the Partial International Search for PCT/GB2004/000276 dated May 24, 2004. (Attorney Docket 67632, 67639, 67640)  PCT International Search Report and Written Opinion of the International Searching Authority for PCT/GB2004/000282 dated June 3, 2004. (Attorney Docket 67626)  PCT International Search Report and Written Opinion of the International Searching Authority for PCT/GB2004/000287 dated June 16, 2004. (Attorney Docket 67644)  PCT Invitation to Pay Additional Fees and Communication Relating to the Results of the Partial International Search for PCT/GB2004/000265 dated June 17, 2004. (Attorney Docket 67635, 67636, 67637, 67638)										
						PCT International Search Report and Written Opinion of the International Searchin Authority for PCT/GB2004/000272 dated September 7, 2004. (Attorney Docker 67625, 67627, 67628, 67629, 67630, 67631)  PCT International Search Report and Written Opinion of the International Searchin Authority for PCT/GB2004/000276 dated September 7, 2004. (Attorney Docker 67632, 67639, 67640)				
Examiner Signature		Date Considered								

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